

---

**IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF PENNSYLVANIA**

IN RE: NATIONAL FOOTBALL LEAGUE : No.:2:12-md-02323-AB  
PLAYERS' CONCUSSION :  
INJURY LITIGATION : MDL No. 2323  
: :  
: :

---

THIS DOCUMENT RELATES TO: :  
JORGE A. DIAZ AND :  
KATHERINE BENNET DIAZ, PLAINTIFFS, :  
Case No. 2:12-cv-03125-AB :

---

**NOTICE OF ATTORNEY'S LIEN**

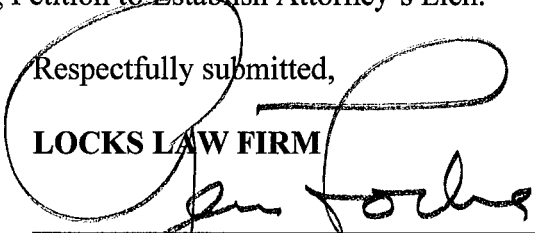
Pursuant to rules of procedure, and the executed Retainer Agreement dated April 1, 2013, Petitioners, Gene Locks, Esquire, Michael B. Leh, Esquire, and David D. Langfitt, Esquire, of LOCKS LAW FIRM, attorneys for the Plaintiffs in the above-entitled action, hereby notify this Court and all parties that they have a lien in this case for reasonable attorney's fees, plus expenses, as set forth in the accompanying Petition to Establish Attorney's Lien.

Respectfully submitted,

**LOCKS LAW FIRM**

Dated: July 25, 2017

By:

  
\_\_\_\_\_  
Gene Locks, Esquire (PA ID No. 12969)  
Michael B. Leh, Esquire (PA ID No. 42962)  
David D. Langfitt, Esquire (PA ID No. 66588)  
THE CURTIS CENTER  
601 Walnut Street, Suite 720 East  
Philadelphia, PA 19106  
(215) 893-0100

---

**IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF PENNSYLVANIA**

|                                    |   |                      |
|------------------------------------|---|----------------------|
| IN RE: NATIONAL FOOTBALL LEAGUE    | : | No.:2:12-md-02323-AB |
| PLAYERS' CONCUSSION                | : |                      |
| INJURY LITIGATION                  | : | MDL No. 2323         |
|                                    | : |                      |
|                                    | : |                      |
|                                    | : |                      |
| THIS DOCUMENT RELATES TO:          | : |                      |
| JORGE A. DIAZ AND                  | : |                      |
| KATHERINE BENNET DIAZ, PLAINTIFFS, | : |                      |
| Case No. 2:12-cv-03125-AB          | : |                      |

---

**PETITION TO ESTABLISH ATTORNEY'S LIEN**

NOW, comes, Petitioners, Gene Locks, Esquire, Michael B. Leh, Esquire, and David D. Langfitt, Esquire, of LOCKS LAW FIRM, pursuant to rules of procedure, and the executed Retainer Agreement dated April 1, 2013, and states as follows:

- (1) Petitioners are attorneys at law admitted to practice before any court in the State of Pennsylvania, and files this petition to establish their lien for attorney's fees as set forth hereinafter;
- (2) On or about April 1, 2013, Petitioners were retained and employed by Plaintiffs, Jorge A. Diaz and Katherine Bennet Diaz, pursuant to a Retainer Agreement, to pursue a claim for injuries and damages on Plaintiffs' behalf in the NFL Concussion MDL against the NFL and any other responsible parties for any football-related injuries. A copy of the Retainer Agreement, dated April 1, 2013, is attached as Exhibit A.
- (3) The Retainer Agreement contains the following terms:

- a. "4. The parties acknowledge and agree that the CLIENT retain the Attorneys on a contingency fee basis, and that CLIENT shall pay Attorneys a fee for their services in any civil action authorized by the CLIENT in an amount equal to 33.33% (thirty-three and one-third) of the gross proceeds of recovery by the CLIENT, whether by settlement, judgment, or otherwise."
- b. "5. In the event the Attorneys recover attorneys' fees for the CLIENT, or are awarded attorneys' fees, the attorneys' fees recovered shall be applied against the amounts to which the Attorneys would be entitled under this Agreement. If any attorneys' fee award exceeds the amount of the contingent fee under this Agreement, the Attorneys shall be entitled to the higher of the attorneys' fee award or the contingency fee."
- c. "6. The CLIENT understands and agrees that the filing of an action or suit on his behalf will require the expenditure of funds for litigation expenses and costs, such as filing fees, discovery expenses, witness fees, and transcripts. The Attorneys and the CLIENT agree that the Attorneys will initially pay and thus advance all such litigation costs and expenses on the CLIENT's behalf, and that in the event of a recovery or award, the CLIENT will reimburse the Attorneys for such expenses and costs out of CLIENT's recovery or award."
- d. "7. The CLIENT and Attorneys agree that the CLIENT's responsibility for the payment of attorneys' fees to the Attorneys is limited to the contingent fee provided in paragraph four (4) and that those sums shall be derived from the CLIENT's recovery of an award, whether by judgment or settlement."

- (4) From the date Petitioners were authorized to proceed on behalf of Plaintiffs, Petitioners have actively and diligently applied themselves to the investigation, preparation, and pursuit of Plaintiffs' claims, and have taken all steps necessary to prosecute those claims, document Plaintiff Jorge Diaz's diagnoses, retrieve medical records, and continue to pursue the best interests of Plaintiffs in this matter.
- (5) After investigation and preparation, Petitioners filed the instant action on behalf of Plaintiffs. On June 17, 2013, Petitioners filed a Short Form Complaint on behalf of Plaintiffs. A copy of the Short-Form Complaint is attached as Exhibit B.
- (6) The specific services performed required expenses incurred by Petitioners.
- (7) Plaintiffs have recently discharged Petitioners as his attorneys in this matter, and he is now being represented by a new attorney in this action.
- (8) Petitioners were not terminated by Plaintiffs for cause, and the termination was not due to any malfeasance or other improper action on the part of Petitioners.
- (9) Petitioners claim the right to have a lien for attorney's fees and expenses established and enforced upon any sums to be derived from any settlement or judgment obtained or to be obtained by Plaintiffs in this action.

WHEREFORE, Petitioners pray:

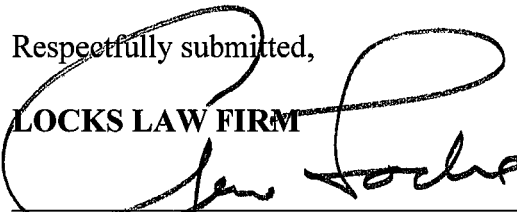
- (1) That their attorney's lien for fees and expenses be determined and established;
- (2) That the Court order that Petitioners be entitled to enforce their attorney's lien against the proceeds to be derived from any settlement or judgment in this action;
- (3) For such other and further relief as this Court deems just.

Respectfully submitted,

**LOCKS LAW FIRM**

Dated: July 25, 2017

By:

  
Gene Locks, Esquire (PA ID No. 12969)  
Michael B. Leh, Esquire (PA ID No. 42962)  
David D. Langfitt, Esquire (PA ID No. 66588)  
THE CURTIS CENTER  
601 Walnut Street, Suite 720 East  
Philadelphia, PA 19106  
(215) 893-0100



# EXHIBIT A

**RETAINER AGREEMENT**

Client Name: Jorge A Diaz  
 Spouse Name: Katherine Bennett Diaz  
 Address: 9395 126<sup>th</sup> Ave  
 City: Largo State: FL Zip Code: 33773  
 Home Telephone: \_\_\_\_\_ Office Telephone: \_\_\_\_\_  
 Cell Phone: 727 482 3350 Email: e/nino64@aol.com  
 Date of Birth: 11/15/1973 Social Security: 451 81 5167

**RETAINER AGREEMENT**

The CLIENT, Jorge Diaz, and, Katherine Diaz (spouse), and the LOCKS LAW FIRM, 601 Walnut Street, Suite 720 East, Philadelphia, PA 19106, their associates, partners and co-counsel (hereinafter collectively "Attorneys"), in consideration of the mutual promises contained herein, for the purposes of providing legal services to the CLIENT, agree as follows:

1. The CLIENT hereby retains and employs the Attorneys to represent him in the investigation and prosecution by civil action, any and all claims CLIENT may have arising out of his participation as a player in games, practices, or training sponsored or approved by the National Football League, any ~~one or more of the member teams of the National Football League, and/or the National Football League~~ Players' Association, as those claims relate to head injuries, concussions, and/or brain trauma of any kind.
2. Any such action or suit is subject to the investigation by the Attorneys of the facts of the claim and the applicable law. This agreement does not include appeals and/or ancillary proceedings.



3. The CLIENT understands that after investigating the claims, if the Attorneys decide that in their best judgment no case should be filed, the Attorneys may withdraw as counsel and have no further obligation to the CLIENT under this Retainer Agreement.

4. The parties acknowledge and agree that the CLIENT retains the Attorneys on a contingency fee basis, and that CLIENT shall pay Attorneys a fee for their services in any civil action authorized by the CLIENT in an amount equal to 33.33 % (thirty-three and one-third) of the gross proceeds of recovery by the CLIENT, whether by settlement, judgment, or otherwise.

5. In the event the Attorneys recover attorneys' fees for the CLIENT, or are awarded attorneys' fees, the attorneys' fees recovered shall be applied against the amounts to which the Attorneys would be entitled under this Agreement. If any attorneys' fee award exceeds the amount of the contingent fee under this Agreement, the Attorneys shall be entitled to the higher of the attorneys' fee award or the contingency fee.

6. The CLIENT understands and agrees that the filing of an action or suit on his behalf will require the expenditure of funds for litigation expenses and costs, such as filing fees, discovery expenses, witness fees, and transcripts. The Attorneys and the CLIENT agree that the Attorneys will initially pay and thus advance all such litigation costs and expenses on the CLIENT's behalf, and that in the event of a recovery or award, the CLIENT will reimburse the Attorneys for such expenses and costs out of CLIENT's recovery or award.

---

7. The CLIENT and Attorneys agree that the CLIENT's responsibility for the payment of attorneys' fees to the Attorneys is limited to the contingent fee provided in paragraph four (4) and that those sums shall be derived from the CLIENT's recovery of an award, whether by judgment or settlement.

8. The CLIENT shall keep the Attorneys informed at all times of all current addresses, telephone numbers, and e-mail address(es).

9. The CLIENT understands that there have been no representations or promises made as to the outcome of the case or any phase of the case.

10. The CLIENT agrees not to discuss and/or negotiate any settlement and/or accept any settlement regarding the subject matter of the case with any defendant, potential defendant, and/or the Department of Justice, without first consulting the Attorneys.

**ACCEPTED AND AGREED:**

CLIENT:

Dated:

CLIENT:

Dated:

ATTORNEYS:

By:

Dated:

Locks Law Firm

# EXHIBIT B

UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF PENNSYLVANIA

IN RE: NATIONAL FOOTBALL  
LEAGUE PLAYERS' CONCUSSION  
INJURY LITIGATION

MDL No. 2323

-----  
**This relates to:**

**Plaintiffs' Master Administrative Long-  
Form Complaint and (if applicable)  
Johnnie Walton, et al. v. NFL, USDC,  
EDPA, No. 13-cv-03125**

**JORGE A. DIAZ**

**SHORT FORM COMPLAINT**

**IN RE: NATIONAL FOOTBALL  
LEAGUE PLAYERS' CONCUSSION  
INJURY LITIGATION**

**JURY TRIAL DEMANDED**

**SHORT FORM COMPLAINT**

1. Plaintiffs, **JORGE A. DIAZ**, and Plaintiff's Spouse **KATHERINE BENNET DIAZ**, bring this civil action as a related action in the matter entitled IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION, MDL No. 2323.
2. Plaintiff and Plaintiff's Spouse are filing this short form complaint as required by this Court's Case Management Order No. 2, filed April 26, 2012.
3. Plaintiff and Plaintiff's Spouse, incorporate by reference the allegations (as designated below) of the Master Administrative Long-Form Complaint, as may be amended, as if fully set forth at length in this Short Form Complaint.
4. NOT APPLICABLE

5. Plaintiff, **JORGE A. DIAZ**, is a resident and citizen of Largo, Florida and claims damages as set forth below.

6. Plaintiff's spouse, **KATHERINE BENNET DIAZ**, is a resident and citizen of Largo, Florida, and claims damages as a result of loss of consortium proximately caused by the harm suffered by her Plaintiff husband.

7. On information and belief, the Plaintiff sustained repetitive, traumatic sub-concussive and/or concussive head impacts during NFL games and/or practices. On information and belief, Plaintiff suffers from symptoms of brain injury caused by the repetitive, traumatic sub-concussive and/or concussive head impacts the Plaintiff sustained during NFL games and/or practices. On information and belief, the Plaintiff's symptoms arise from injuries that are latent and have developed and continue to develop over time.

8. The original complaint by Plaintiff(s) in this matter was filed in United States District Court, Eastern District of Pennsylvania.

9. Plaintiff claims damages as a result of [check all that apply]:

☒ Injury to Herself/Himself

☒ Injury to the Person Represented

☐ Wrongful Death

☐ Survivorship Action

☒ Economic Loss

☐ Loss of Services

\_\_\_ Loss of Consortium

10. As a result of the injuries to her husband, **JORGE A. DIAZ**, Plaintiff's Spouse, **KATHERINE BENNET DIAZ**, suffers from a loss of consortium, including the following injuries:

X loss of marital services;

X loss of companionship, affection or society;

X loss of support; and

X monetary losses in the form of unreimbursed costs she has had to expend for the health care and personal care of her husband.

11. X Plaintiff and Plaintiff's Spouse, reserve the right to object to federal jurisdiction.

#### **DEFENDANTS**

12. Plaintiff and Plaintiff's Spouse, bring this case against the following Defendants in this action [check all that apply]:

X National Football League

X NFL Properties, LLC

\_\_\_ Riddell, Inc.

\_\_\_ All American Sports, Inc. (d/b/a Riddell Sports Group, Inc.)

\_\_\_ Riddell Sports Group, Inc.

\_\_\_ Easton-Bell Sports, Inc.

\_\_\_ Easton-Bell Sports, LLC

\_\_\_ EB Sports Corporation

\_\_\_ RBG Holdings Corporation

13. NOT APPLICABLE

14. NOT APPLICABLE

15. Plaintiff played in ☒ the National Football League ("NFL") and/or in the  
American Football League ("AFL") during 1996-01 for the following teams:

Tampa Bay Buccaneers  
Dallas Cowboys

#### **CAUSES OF ACTION**

16. Plaintiff herein adopts by reference the following Counts of the Master  
Administrative Long-Form Complaint, along with the factual allegations incorporated by  
reference in those Counts [check all that apply]:

  X   Count I (Action for Declaratory Relief – Liability (Against the NFL))

  X   Count II (Medical Monitoring (Against the NFL))

\_\_\_ Count III (Wrongful Death and Survival Actions (Against the NFL))

- X Count IV (Fraudulent Concealment (Against the NFL))
- X Count V (Fraud (Against the NFL))
- X Count VI (Negligent Misrepresentation (Against the NFL))
- X Count VII (Negligence Pre-1968 (Against the NFL))
- X Count VIII (Negligence Post-1968 (Against the NFL))
- X Count IX (Negligence 1987-1993 (Against the NFL))
- X Count X (Negligence Post-1994 (Against the NFL))
- X Count XI (Loss of Consortium (Against the NFL))
- X Count XII (Negligent Hiring (Against the NFL))
- X Count XIII (Negligent Retention (Against the NFL))
- Count XIV (Strict Liability for Design Defect (Against the Riddell Defendants))
- Count XV (Strict Liability for Manufacturing Defect (Against the Riddell Defendants))
- Count XVI (Failure to Warn (Against the Riddell Defendants))
- Count XVII (Negligence (Against the Riddell Defendants))
- X Count XVIII (Civil Conspiracy/Fraudulent Concealment (Against All Defendants))



17. Plaintiff asserts the following additional causes of action [write in or attach]:

---

---

---

---

---

**PRAYER FOR RELIEF**

WHEREFORE, Plaintiff and Plaintiff's Spouse, pray for judgment as follows:

- A. An award of compensatory damages, the amount of which will be determined at trial;
- B. For punitive and exemplary damages as applicable;
- C. For all applicable statutory damages of the state whose laws will govern this action;
- D. For medical monitoring, whether denominated as damages or in the form of equitable relief;
- E. For an award of attorneys' fees and costs;
- F. An award of prejudgment interest and costs of suit; and
- G. An award of such other and further relief as the Court deems just and proper.

**JURY DEMANDED**

Pursuant to Federal Rule of Civil Procedure 38, Plaintiff(s) hereby demand(s) a trial by jury.

RESPECTFULLY SUBMITTED:

/s/ Gene Locks

Gene Locks, Esquire (PA ID No. 12969)  
Michael B. Leh, Esquire (PA ID No. 42962)  
David D. Langfitt, Esquire (PA ID No. 66588)  
601 Walnut Street, Suite 720 East  
Philadelphia, PA 19106  
215-893-0100 (tel.)  
215-893-3444 (fax)  
[glocks@lockslaw.com](mailto:glocks@lockslaw.com)  
[mleh@lockslaw.com](mailto:mleh@lockslaw.com)  
[dlangfitt@lockslaw.com](mailto:dlangfitt@lockslaw.com)

*Attorneys for Plaintiffs*